

ABELIAN STATE-CLOSED SUBGROUPS OF AUTOMORPHISMS OF m -ARY TREES

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ABSTRACT. The group \mathcal{A}_m of automorphisms of a one-rooted m -ary tree admits a diagonal monomorphism which we denote by x . Let A be an abelian state-closed (or self-similar) subgroup of \mathcal{A}_m . We prove that the combined diagonal and tree-topological closure A^* of A is additively a finitely presented $\mathbb{Z}_m[[x]]$ -module where \mathbb{Z}_m is the ring of m -adic integers. Moreover, if A^* is torsion-free then it is a finitely generated pro- m group. Furthermore, the group A splits over its torsion subgroup. We study in detail the case where A^* is additively a cyclic $\mathbb{Z}_m[[x]]$ -module and we show that when m is a prime number then A^* is conjugate by a tree automorphism to one of two specific types of groups.

1. INTRODUCTION

Automorphisms of one-rooted regular trees $\mathcal{T}(Y)$ indexed by finite sequences from a finite set Y of size $m \geq 2$, have a natural interpretation as automata on the alphabet Y , and with states which are again automorphisms of the tree. A subgroup of the group of automorphisms $\mathcal{A}(Y)$ of the tree is said to be *state-closed*, in the language of automata (or *self-similar* in the language of dynamics) of degree m provided the states of its elements are themselves elements of the same group. If the group is not state-closed then we may consider its state-closure. The prime example of a state-closed group is the group generated by the binary adding machine $\tau = (e, \tau)\sigma$ where σ is the transposition $(0, 1)$.

We study in this paper representations of general abelian groups as state-closed groups of degree m . For this purpose we use topological and diagonal closure operations in the automorphism group of the tree. Representations of free abelian groups of finite rank as state-closed groups of degree 2 were characterized in [2].

An automorphism group G of the tree group is said to be *transitive* provided the permutation group $P(G)$ induced by G on the set Y is transitive; actions of groups on sets, will be applied on the right. It will be shown that the structure of state-closed groups can in a certain sense be reduced to those which are transitive.

The automorphism group $\mathcal{A}(Y)$ of the tree is a topological group with respect to the topology inherited from the tree. This topology allows us to exponentiate elements of $\mathcal{A}(Y)$ by m -ary integers from \mathbb{Z}_m . Given a subgroup G of $\mathcal{A}(Y)$, its

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topological closure \overline{G} with respect to the tree topology belongs to the same variety as G . Also, if G is state-closed then so is \overline{G} .

The diagonal map $\alpha \rightarrow \alpha^{(1)} = (\alpha, \alpha, \dots, \alpha)$ is a monomorphism of \mathcal{A}_m . Define inductively $\alpha^{(0)} = \alpha, \alpha^{(i+1)} = (\alpha^{(i)})^{(1)}$ for $i \geq 0$. It is convenient to introduce a symbol x and write $\alpha^{(i)}$ as α^{x^i} for $i \geq 0$. This will permit more general exponentiation, by formal power series $p(x) \in \mathbb{Z}_m[[x]]$. Given a subgroup G of $\mathcal{A}(Y)$, its *diagonal closure* is the group $\tilde{G} = \langle G^{(i)} \mid i \geq 0 \rangle$. Observe that the diagonal closure operation preserves the state-closed property.

We will show that given an abelian transitive state-closed group A , its diagonal closure \tilde{A} is again abelian. The composition of the diagonal and topological closures when applied to A produces an abelian group denoted by A^* which can be viewed additively as a finitely generated $\mathbb{Z}_m[[x]]$ -module. This approach was first used in [1].

The prime decomposition $m = \prod_{1 \leq i \leq s} p_i^{k_i}$, provides us with the decomposition $\mathbb{Z}_m = \oplus_{1 \leq i \leq s} \varepsilon_i \mathbb{Z}_{p_i^{k_i}}$ where ε_i are orthogonal idempotents such that $1 = \sum_{1 \leq i \leq s} \varepsilon_i$, and provides us also with the decomposition $\mathbb{Z}_m[[x]] = \oplus_{1 \leq i \leq s} \varepsilon_i \mathbb{Z}_{p_i^{k_i}}[[x]]$. When $m = p^k$ and p a prime number, the rings $\mathbb{Z}_m[[x]]$ and $\mathbb{Z}_p[[x]]$ are isomorphic, yet when $k > 1$, they are different representations of the same object and for this reason we distinguish between them.

In Sections 3 and 4 we prove

Theorem 1. *Let A be an abelian transitive state-closed group of degree m . Then, (1) the group A^* is isomorphic to a finitely presented $\mathbb{Z}_m[[x]]$ -module; (2) if A^* is torsion-free then it is a finitely generated \mathbb{Z}_m -module which is also a pro- m group.*

Item (1) is part of Theorem 5 and item (2) is Corollary 1 of Theorem 6.

We consider in Section 5 torsion subgroups of state-closed abelian groups and use methods from virtual endomorphisms of groups (see [3], [4]; reviewed in Subsection 5.1) to prove the following structural result.

Theorem 2. *Let A be an abelian transitive state-closed group of degree m and $\text{tor}(A)$ its torsion subgroup. Then, (i) $\text{tor}(A)$ is a direct summand of A and has exponent a divisor of the exponent of $P(A)$; (ii) the action of A on the m -ary tree induces transitive state-closed representations of $\text{tor}(A)$ on the m_1 -tree and of $\frac{A}{\text{tor}(A)}$ on the m_2 -tree, where $m_1 = |P(\text{tor}(A))|$ and $m_2 = |\frac{P(A)}{P(\text{tor}(A))}|$; (iii) if $A = \text{tor}(A)$ and $P(A) \cong \oplus_{1 \leq i \leq k} \frac{\mathbb{Z}}{m_i \mathbb{Z}}$, then $A^* \cong \oplus_{1 \leq i \leq k} \frac{\mathbb{Z}}{m_i \mathbb{Z}}[[x]]$.*

The above results are analogous to Theorem 4.3.4 of [5] on the structure of finitely generated pro- p groups. By item (i) of the theorem, an abelian torsion group G of infinite exponent cannot have a faithful representation as a transitive state-closed group for any finite degree. Put differently, the group G does not admit any simple virtual endomorphism. On the other hand, the group of automorphisms of the p -adic tree is replete with abelian p -subgroups of infinite exponent. Item (iii) follows from Theorem 7 which is a conjugacy result and therefore more general than isomorphism.

We focus our attention in Section 6 on transitive state-closed abelian groups A for which A^* is additively a cyclic $\mathbb{Z}_m[[x]]$ -module. We show

Theorem 3. (1) Let $q_1, \dots, q_m \in \mathbb{Z}_m[[x]]$ and let σ be the cycle $(1, 2, \dots, m)$. Then the expression

$$\alpha = (\alpha^{q_1}, \dots, \alpha^{q_m}) \sigma$$

is a well-defined automorphism of the m -ary tree and the state-closure A of $\langle \alpha \rangle$ is an abelian transitive group. The group A^* is additively isomorphic to the quotient ring $\frac{\mathbb{Z}_m[[x]]}{(r)}$ where

$$r = m - xq \text{ and } q = q_1 + \dots + q_m.$$

(2) Let A be a transitive state-closed abelian group of degree m such that A^* is additively a cyclic $\mathbb{Z}_m[[x]]$ -module. Then $P(A)$ is cyclic, say generated by σ , and A^* is the state-diagonal-topological closure of an element of the form $\alpha = (\alpha^{q_1}, \dots, \alpha^{q_m}) \sigma$ for some $q_1, \dots, q_m \in \mathbb{Z}_m[[x]]$.

Finally, we provide a complete description of the group A^* for state-closed groups of prime degree. Let $j \geq 1$ and let $D_m(j)$ be the group generated by the set of states of the generalized adding machine $\alpha = (e, \dots, e, \alpha^{x^{j-1}}) \sigma$ acting on the m -ary tree with $\sigma = (1, 2, \dots, m)$. The topological closure of $D_m(j)$ seen as \mathbb{Z}_m -module, is isomorphic to the ring $\frac{\mathbb{Z}_m[[x]]}{(r)}$, $r = m - x^j$.

Theorem 4. Let A be an abelian transitive state-closed group of prime degree m and let σ be the m -cycle automorphism. If $\text{tor}(A)$ is nontrivial then A^* is a torsion group conjugate to $\langle \sigma \rangle^* (\cong \frac{\mathbb{Z}}{m\mathbb{Z}}[[x]])$. If A is torsion-free then A^* is a torsion-free group conjugate to the topological closure of $D_m(j)$ for some j .

One of the questions which has remained unanswered is whether a free abelian group of infinite rank admits a faithful transitive state-closed representation, even of prime degree.

2. PRELIMINARIES

We fix the notation $Y = \{1, 2, \dots, m\}$, $\mathcal{T}_m = \mathcal{T}(Y)$, $\mathcal{A}_m = \mathcal{A}(Y)$ and we let $\text{Perm}(Y)$ be the group of permutations of Y . A permutation $\gamma \in \text{Perm}(Y)$ is extended to an automorphism of the tree by $\gamma : yu \rightarrow y^\gamma u$, fixing the non-initial letters of every sequence. An automorphism $\alpha \in \mathcal{A}_m$ is represented as $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m) \sigma(\alpha)$ where $\alpha_i \in \mathcal{A}_m$ and $\sigma(\alpha) \in \text{Perm}(Y)$. Successive developments of α_i produce for us α_u (a state of α) for every finite string u over Y .

The product of $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_m) \sigma(\alpha)$ and $\beta = (\beta_1, \beta_2, \dots, \beta_m) \sigma(\beta)$ in \mathcal{A}_m , is

$$\alpha\beta = \left(\alpha_1\beta_{(1)\sigma(\alpha)}, \dots, \alpha_m\beta_{(m)\sigma(\alpha)} \right) \sigma(\alpha)\sigma(\beta).$$

Let G be a subgroup of \mathcal{A}_m . Denote the subgroup of G which fixes the vertices of the i -th level of the tree by $\text{Stab}_G(i)$. Given $y \in Y$, denote by $\text{Fix}_G(y)$ the subgroup of G consisting of the elements of G , which fix y . The group G is said to be *recurrent* provided it is transitive and $\text{Fix}_G(1)$ projects in the 1st coordinate onto G .

The group \mathcal{A}_m is the inverse limit of its quotients by the i -th level stabilizers $\text{Stab}_{\mathcal{A}_m}(i)$ of the tree and is as such a topological group where each $\text{Stab}_{\mathcal{A}_m}(i)$ is an open and closed subgroup. For a subgroup G of automorphisms of the tree, its topological closure \overline{G} coincides with the set of all infinite products $\dots g_i \dots g_1 g_0$, or alternately, $g_0 g_1 \dots g_i \dots$ where $g_i \in \text{Stab}_G(i)$. The group \overline{G} satisfies the same group

identities as G . We note that the property of being state-closed is also preserved by the topological closure operation.

Let α be an automorphism of the tree. Then $\overline{\langle \alpha \rangle} = \{\alpha^p \mid p \in \mathbb{Z}_m\}$. More generally, for $q = \sum_{i \geq 0} q_i x^i \in \mathbb{Z}_m[[x]]$ with $q_i \in \mathbb{Z}_m$, we write the expression

$$\alpha^q = \alpha^{q_0} \alpha^{q_1 x} \dots \alpha^{q_i x^i} \dots$$

which can be verified to be a well-defined automorphism of the tree.

We recall the reduction of group actions to transitive ones, with a view to a similar reduction for state-closed groups of automorphisms of trees. Let G be a subgroup of $\text{Perm}(Y)$, let $\{Y_i \mid i = 1, \dots, s\}$ be the set of orbits of G on Y and let $\{\rho_i : G \rightarrow \text{Perm}(Y_i) \mid i = 1, \dots, s\}$ be the set of induced representations. Then, each ρ_i is transitive and $\rho : G \rightarrow \prod_{1 \leq i \leq s} \text{Perm}(Y_i) \leq \text{Perm}(Y)$ defined by $g \rightarrow (g^{\rho_1}, \dots, g^{\rho_s})$ is a monomorphism. The reduction for tree actions follows from

Lemma 1. *Let G be a state-closed group of automorphisms of the tree $\mathcal{T}(Y)$ and let X be a $P(G)$ -invariant subset of Y . Then, $\mathcal{T}(X)$ is G -invariant and for the resulting representation $\mu : G \rightarrow \mathcal{A}(X)$, the group G^μ is state-closed. If G is diagonally closed or is topologically closed then so is G^μ .*

Proof. Let xu be a sequence from X and let $\alpha \in G$. Then, $(xu)^\alpha = x^{\sigma(\alpha)} u^{\alpha_x}$. As $x^{\sigma(\alpha)} \in X$ and $\alpha_x \in G$, it follows that $(xu)^\alpha$ is a sequence from X . Also, for any sequence u from X , we have $(\alpha^\mu)_u = (\alpha_u)^\mu$. Thus, G^μ is state-closed. The last assertion is clear. \square

We note the following important properties of transitive state-closed abelian groups A .

Proposition 1. *Let A be an abelian transitive state-closed group of degree m . Then $\text{Stab}_A(i) \leq A^{(i)}$ for all $i \geq 0$. The group \tilde{A} is an abelian transitive state-closed group and is a minimal recurrent group containing A . Moreover, the topological closure and diagonal closure operations commute when applied to A . The diagonal-topological closure A^* of A is an abelian transitive state-closed group.*

Proof. Let $\alpha = (\alpha_1, \dots, \alpha_m)^\sigma, \beta = (\beta_1, \dots, \beta_m) \in A$. Then, the conjugate of β by α is

$$\beta^\alpha = (\beta_1^{\alpha_1}, \dots, \beta_m^{\alpha_m})^\sigma.$$

As $\alpha_i, \beta_i \in A$ and A is abelian, it follows that $\beta = (\beta_1, \dots, \beta_m)^\sigma$. Furthermore, since A is transitive, $\beta = (\beta_1, \dots, \beta_1) = (\beta_1)^{(1)}$. Thus, $\text{Stab}_A(i) \leq A^{(i)}$ for all i . A similar verification shows that $\tilde{A} = \langle A^{(i)} \mid i \geq 0 \rangle$ is abelian.

Let G be a recurrent group such that $A \leq G \leq \tilde{A}$. Given $\alpha \in G$, as G is recurrent, there exists $\beta \in \text{Stab}_G(1)$ such that $\beta = (\beta_1, \dots, \beta_m)$ with $\beta_1 = \alpha$. Since G is transitive and abelian, we have $\beta_1 = \dots = \beta_m = \alpha$; that is, $\beta = \alpha^{(1)}$. Hence, $A^{(i)} \leq G$ and $G = \tilde{A}$ follows.

The last two assertions of the proposition are clear. \square

The following result indicates the smallness of recurrent transitive abelian groups, from the point of view of centralizers.

Proposition 2. *(Theorem 7 [4]) (1) Let A be a recurrent abelian group of degree m and let $C_{\mathcal{A}_m}(A)$ be the centralizer of A in \mathcal{A}_m . Then, $C_{\mathcal{A}_m}(A) = \tilde{A}$. (2) Let m*

be a prime number and A be an infinite transitive state-closed abelian group. Then, $C_{A_m}(A) = \overline{A}$.

This result will be used in the proofs of Lemma 3 and Step 4 of Theorem 9.

3. A PRESENTATION FOR A^*

Let A be a transitive abelian state-closed group of degree m and let A^* be its diagonal-topological closure. Then A^* is additively a $\mathbb{Z}_m[[x]]$ -module having the following properties. Given $\alpha \in A^*$, then

- (i) $x\alpha = 0$ implies $\alpha = 0$; (ii) $m\alpha = x\gamma$ for some $\gamma \in A^*$.

Let $P(A)$ be given by its presentation

$$\langle \sigma_i \ (1 \leq i \leq k) \mid \sigma_i^{m_i} = e, \text{ abelian} \rangle.$$

Choose for each σ_i an element β_i in A , which induces σ_i on Y ; denote β_i by $\beta(\sigma_i)$. Then for any $n \geq 0$, the automorphism of the tree $\beta(\sigma_i)^{(n)}$ is an element of \tilde{A} which induces $(\sigma_i)^{(n)}$ on the $(n+1)$ -th level of the tree. Although the notation β_i has been used to indicate the i th entry in an automorphism β , we hope this new usage will not cause confusion.

Theorem 5. *Let A be a transitive abelian state-closed group of degree m . Then A^* is additively a $\mathbb{Z}_m[[x]]$ -module generated by*

$$\{\beta_i \mid 1 \leq i \leq k\}$$

subject to the set of defining relations

$$\left\{ r_i = \sum_{1 \leq j \leq k} m_i \beta_i - p_{ij} \beta_j x = 0 \mid 1 \leq i \leq k \right\} \text{ for some } p_{ij} \in \mathbb{Z}_m[[x]].$$

Moreover, there exist $r, q \in \mathbb{Z}_m[[x]]$ such that $r = m - xq$ and $rA^* = (0)$. The elements of A^* can be represented additively as $\sum_{1 \leq i \leq k} p_i \beta_i$ where $p_i = \sum_{j \geq 0} p_{ij} x^j$ and each $p_{ij} \in \mathbb{Z}$ with $0 \leq p_{ij} < m$.

Proof. Let $\alpha \in A^*$ and $\sigma(\alpha) = \prod_{1 \leq i \leq k} \sigma_i^{r_{i1}}, 0 \leq r_{i1} < m_i$. Then, either $\alpha \left(\prod_{1 \leq i \leq k} \beta_i^{r_{i1}} \right)^{-1}$

is the identity element or there exists $l_2 \geq 1$ such that

$$\alpha \left(\prod_{1 \leq i \leq k} \beta_i^{r_{i1}} \right)^{-1} \in \text{Stab}(l_2) \setminus \text{Stab}(l_2 + 1)$$

and so, $\alpha \left(\prod_{1 \leq i \leq k} \beta_i^{r_{i1}} \right)^{-1} = (\gamma)^{(l_2)}$ for some $\gamma \in A^*$. We treat γ in the same manner as α . In the limit, we obtain

$$\begin{aligned} \alpha &= \prod_{1 \leq i \leq k} \left(\beta_i^{r_{i1}} (\beta_i^{r_{i2}})^{(l_2)} \dots (\beta_i^{r_{ij}})^{(l_j)} \dots \right) \\ &= \prod_{1 \leq i \leq k} \beta_i^{q_i} \end{aligned}$$

where $0 \leq r_{ij} < m_i$, $1 \leq l_2 < l_3 < \dots < l_j < \dots$ and where $q_i = r_{i1} + \sum_{j \geq 2} r_{ij} x^{l_j}$ are formal power series in x . Additively then,

$$\alpha = \sum_{1 \leq i \leq k} q_i \beta_i \in \sum_{1 \leq i \leq k} \mathbb{Z}_{m_i} [[x]] \beta_i.$$

Each relation $\sigma_i^{m_i} = e$ in P produces in A^* a relation of the form

$$\beta_i^{m_i} = \prod_{1 \leq j \leq k} \beta_j^{x p_{ij}}$$

where p_{ij} are elements in the power series, as above; when written additively $\beta_i^{m_i}$ has the form

$$m_i \beta_i = x \left(\sum_{1 \leq j \leq k} p_{ij} \beta_j \right).$$

Let $F = \oplus_{1 \leq i \leq k} \mathbb{Z}_m [[x]] \dot{\beta}_i$ be a free $\mathbb{Z}_m [[x]]$ module of rank k . Define the $\mathbb{Z}_m [[x]]$ -homomorphism

$$\phi : \sum_{1 \leq i \leq k} \mathbb{Z}_m [[x]] \dot{\beta}_i \rightarrow A^*, \quad \sum_{1 \leq i \leq k} p_i \dot{\beta}_i \rightarrow \prod_{1 \leq i \leq k} \beta_i^{p_i}$$

and let R be the kernel ϕ . Define J to be the $\mathbb{Z}_m [[x]]$ -submodule of R generated by

$$\dot{r}_i = m_i \dot{\beta}_i - x \left(\sum_{1 \leq j \leq k} p_{ij} \dot{\beta}_j \right) \quad (1 \leq i \leq k).$$

We will show that $J = R$. So let $\nu \in R$ and write $\nu = \sum_{1 \leq i \leq k} \nu_i \dot{\beta}_i$ where

$$\begin{aligned} \nu_i &= \sum_{j \geq 0} \nu_{ij} x^j, \\ \nu_{ij} &= \nu_{ij,0} + m w_{ij} \in \mathbb{Z}_m. \end{aligned}$$

Then, $m_i | \nu_{i0,0}$, $\nu_{i0,0} = m_i \nu'_{i0,0}$; factor $m = m_i m'_i$. Therefore,

$$\begin{aligned} \nu_i &= \nu_{i0} + \left(\sum_{j \geq 1} \nu_{ij} x^{j-1} \right) x, \\ \nu_{i0} &= m_i \nu'_{i0,0} + m w_{i0} = (\nu'_{i0,0} + m'_i w_{i0}) m_i, \\ \nu_i \dot{\beta}_i &= (\nu'_{i0,0} + m'_i w_{i0}) (m_i \dot{\beta}_i) + \left(\sum_{j \geq 1} \nu_{ij} x^{j-1} \right) x \dot{\beta}_i, \\ &\equiv (\nu'_{i0,0} + m'_i w_{i0}) \left(x \sum_{1 \leq j \leq k} p_{ij} \dot{\beta}_j \right) + \left(\sum_{j \geq 1} \nu_{ij} x^{j-1} \right) x \dot{\beta}_i \text{ modulo } J. \end{aligned}$$

Hence,

$$\begin{aligned}\nu &= \sum_{1 \leq i \leq k} \nu_i \dot{\beta}_i \in x\mu + J, \\ \mu &= \sum_{1 \leq i \leq k} \mu_i \dot{\beta}_i \in R.\end{aligned}$$

Thence, by repeating the argument, we obtain

$$\begin{aligned}\nu &\in \left(\bigcap_{i \geq 1} x^i R \right) + J = J, \\ J &= R.\end{aligned}$$

On re-writing the relations $m_i \beta_i = \sum_{1 \leq j \leq k} p_{ij} x \beta_j$ in the form

$$p_{i1} x \beta_1 + \dots + (p_{ii} x - m_i) \beta_i + \dots + p_{ik} x \beta_k = 0$$

we see that the $k \times k$ matrix of coefficients of these equations has determinant $r = m - qx$ for some $q \in \mathbb{Z}_m[[x]]$ and thus r annuls A^* .

The last assertion of the theorem follows by using $r = m - qx \in R$ to reduce the coefficients modulo m . \square

4. THE m -CONGRUENCE PROPERTY

A group G of automorphisms of the m -ary tree is said to satisfy the *m -congruence property* provided given m^i there exists $l(i) \geq 1$ such that $\text{Stab}_G(l(i)) \leq G^{m^i}$ for all i ; in which case the topology on G inherited from $\mathcal{A}(Y)$ is equal to the pro- m topology. Since when A^* is written additively, we have $\text{Stab}_G(l(i)) = x^{l(i)} A^*$, the m -congruence property reads $x^{l(i)} A^* \leq m^i A^*$.

Theorem 6. *Let $r = m - qx^j \in \mathbb{Z}_m[[x]]$ with $q \in \mathbb{Z}_m[[x]]$ and $j \geq 1$. Let S be quotient ring $\frac{\mathbb{Z}_m[[x]]}{(r)}$. Suppose S is torsion-free. Then, S is a finitely generated pro- m group.*

Proof. From the decomposition $\mathbb{Z}_m[[x]] = \bigoplus_{1 \leq i \leq s} \varepsilon_i \mathbb{Z}_{p_i^{k_i}}[[x]]$ corresponding to the prime decomposition $m = \prod_{1 \leq i \leq s} p_i^{k_i}$, we obtain

$$\begin{aligned}r &= \sum_{1 \leq i \leq s} r_i, \\ r_i &= \varepsilon_i r = p_i^{k_i} - q_i(x) x^j, \\ S &= \sum_{1 \leq i \leq s} S_i, \quad S_i = \frac{\mathbb{Z}_{p_i^{k_i}}[[x]]}{(r_i)}\end{aligned}$$

where each S_i is torsion-free. Thus, it is sufficient to address the case where m is a prime power p^k .

(1) First, we show that S is a pro- m group.

So, let $r = p^k - qx^j$ and decompose $q = q(x) = s(x) + p.t(x)$ where each non-zero coefficient of $s(x)$ is an integer relatively prime to p . If $s(x) = 0$ then $q(x) = p.t(x)$ and

$$\begin{aligned}r &= p^k - q(x) x^j = p^k - p.t(x) x^j \\ &= p(p^{k-1} - t(x) x^j) \in (r); \end{aligned}$$

but as by hypothesis S is torsion free, we have $p^{k-1} - t(x)x^j \in (r)$ which is not possible.

Write $s(x) = x^l u(x)$ where $l \geq 0$ and where $u(x)$ is invertible in $\mathbb{Z}_m[[x]]$ with inverse $u'(x)$. Then, $q(x) = x^l u(x) + p.t(x)$ and

$$\begin{aligned} r &= p^k - (x^l u(x)x^j + p.t(x)x^j) \\ &= p(p^{k-1} - t(x)x^j) - x^{j+l}u(x). \end{aligned}$$

Therefore, on multiplying by $u'(x)$, the inverse of $u(x)$, we obtain

$$p(p^{k-1} - t(x)x^j)u'(x) \equiv x^{j+l} \text{ modulo } r.$$

It follows that

$$x^{j+l}S \leq pS, \quad x^{n(j+l)}S \leq p^n S.$$

(2) Now we show that S is finitely generated as a \mathbb{Z}_m -module.

By the previous step there exist $l \geq 1$ and $v(x) \in \mathbb{Z}[[x]]$ such that

$$x^l \equiv mv(x) \text{ mod } r.$$

Decompose $v(x) = v_1(x) + v_2(x)x^l$ where the degree of $v_1(x)$ is less than l . Then, we deduce modulo r :

$$\begin{aligned} v(x) &\equiv v_1(x) + v_2(x)mv(x), \\ v_2(x)v(x) &\equiv w(x) \in \mathbb{Z}[[x]], \\ w(x) &= w_1(x) + w_2(x)x^l, \\ v(x) &\equiv v_1(x) + mw(x) \\ &\equiv v_1(x) + mw_1(x) + mw_2(x)x^l \\ &\quad \dots \\ v(x) &\equiv a_0 + a_1x + \dots + a_lx^{l-1}, \quad a_i \in \mathbb{Z}_m. \end{aligned}$$

We have shown that S is generated by $1, x, \dots, x^{l-1}$ as a pro- m group. \square

Corollary 1. *Let A be an abelian transitive state-closed group of degree m . Suppose the group A^* is torsion-free. Then A^* is a finitely generated pro- m group.*

Proof. With previous notation, the group A^* is a $\mathbb{Z}_m[[x]]$ -module generated by

$$\{\beta_i = \beta(\sigma_i) \mid 1 \leq i \leq k\}$$

and is annihilated by $r = m - qx^j \in \mathbb{Z}_m[[x]]$ for some $q \in \mathbb{Z}_m[[x]]$ and $j \geq 1$.

It follows that A^* is an S -module where $S = \frac{\mathbb{Z}_m[[x]]}{(r)}$. Since S satisfies the m -congruence property, it follows that A^* is a pro- m group.

That A^* is a finitely generated \mathbb{Z}_m -module, is a consequence of S being a finitely generated \mathbb{Z}_m -module. \square

5. TORSION IN STATE-CLOSED ABELIAN GROUPS

5.1. Preliminaries on virtual endomorphisms of groups. Let G be a transitive state-closed subgroup of $\mathcal{A}(Y)$ where $Y = \{1, 2, \dots, m\}$. Then $[G : \text{Fix}_G(1)] = m$ and the projection on the 1st coordinate of $\text{Fix}_G(1)$ produces a subgroup of G ; that is, $\pi_1 : \text{Fix}_G(1) \rightarrow G$ is a virtual endomorphism of G . This notion has proven to be effective in studying state-closed groups. We give a quick review below.

Let G be a group with a subgroup H of finite index m and a homomorphism $f : H \rightarrow G$. A subgroup U of G is *semi-invariant* under the action of f provided $(U \cap H)^f \leq U$. If $U \leq H$ and $U^f \leq U$ then U is *f-invariant*.

The largest subgroup K of H , which is normal in G and is *f-invariant* is called the *f-core*(H). If the *f-core*(H) is trivial then f and the triple (G, H, f) are said to be a *simple*.

Given a triple (G, H, f) and a right transversal $L = \{x_1, x_2, \dots, x_m\}$ of H in G , the permutational representation $\pi : G \rightarrow \text{Perm}(1, 2, \dots, m)$ is $g^\pi : i \rightarrow j$ which is induced from the right multiplication $Hx_i g = Hx_j$. We produce recursively a representation $\varphi : G \rightarrow \mathcal{A}(m)$ as follows:

$$g^\varphi = \left(\left(x_i g \cdot (x_{(i)g^\pi})^{-1} \right)^{f\varphi} \right)_{1 \leq i \leq m} g^\pi.$$

One further expansion of g^φ is

$$\begin{aligned} g^\varphi &= \left(\left(\left(x_j g_i \cdot x_{(j)g_i^\pi}^{-1} \right)^{f\varphi} \right)_{1 \leq j \leq m} g_i^\pi \right)_{1 \leq i \leq m} g^\pi, \\ &= \left(\left(\left(x_j g_i \cdot x_{(j)g_i^\pi}^{-1} \right)^{f\varphi} \right)_{1 \leq j \leq m} \right)_{1 \leq i \leq m} (g_i^\pi)_{1 \leq i \leq m} g^\pi \end{aligned}$$

where $g_i = \left(x_i g \cdot x_{(i)g^\pi}^{-1} \right)^f$

The kernel of φ is precisely the *f-core*(H), G^φ is state-closed and $H^\varphi = \text{Fix}_{G^\varphi}(1)$.

5.1.1. Changing transversals. We will show below that changing the transversal of H in G produces another representation of G , conjugate to the original one by an explicit automorphism of the m -ary tree.

Proposition 3. *Let (G, H, f) be a triple and*

$$L = \{x_1, x_2, \dots, x_m\}, L' = \{x'_1 = h_1 x_1, x'_2 = h_2 x_2, \dots, x'_m = h_m x_m\}$$

right transversals of H in G where $h_i \in H$. Let $\varphi = \varphi_{x_i}, \varphi' = \varphi_{h_i x_i} : G \rightarrow \mathcal{A}(m)$ be the corresponding tree representations and define the following elements of $\mathcal{A}(m)$,

$$\begin{aligned} \gamma &= \gamma_{h_i, \varphi'} = \left((h_i)^{f\varphi'} \right)_{1 \leq i \leq m}, \\ \lambda &= \lambda_{h_i, \varphi'} = \gamma \gamma^{(1)} \dots \gamma^{(n)} \dots \end{aligned}$$

Then,

$$\varphi_{h_i x_i} = \varphi_{x_i} \left(\lambda_{h_i^{-1}, \varphi_{x_i}} \right).$$

Proof. The representations $\varphi, \varphi' : G \rightarrow \mathcal{A}(m)$ are defined by

$$\begin{aligned} g^\varphi &= \left(\left(x_i g \cdot (x_{(i)g^\pi})^{-1} \right)^{f\varphi} \right)_{1 \leq i \leq m} g^\pi, \\ g^{\varphi'} &= \left(\left(x'_i g \cdot (x'_{(i)g^\pi})^{-1} \right)^{f\varphi'} \right)_{1 \leq i \leq m} g^\pi. \end{aligned}$$

The relationship between φ' and φ is established as follows,

$$\begin{aligned}
g^{\varphi'} &= \left(\left(h_i x_i g \cdot (h_{(i)g^\pi} x_{(i)g^\pi})^{-1} \right)^{f\varphi'} \right)_{1 \leq i \leq m} g^\pi \\
&= \left(\left(h_i \left(x_i g \cdot x_{(i)g^\pi}^{-1} \right) h_{(i)g^\pi}^{-1} \right)^{f\varphi'} \right)_{1 \leq i \leq m} g^\pi \\
&= \left((h_i)^{f\varphi'} \right)_{1 \leq i \leq m} \cdot \left(\left(x_i g \cdot x_{(i)g^\pi}^{-1} \right)^{f\varphi'} \right)_{1 \leq i \leq m} \cdot \left(\left(h_{(i)g^\pi}^{-1} \right)^{f\varphi'} \right)_{1 \leq i \leq m} g^\pi \\
&= \left((h_i)^{f\varphi'} \right)_{1 \leq i \leq m} \cdot \left(\left(x_i g \cdot x_{(i)g^\pi}^{-1} \right)^{f\varphi'} \right)_{1 \leq i \leq m} g^\pi \cdot \left((h_i)^{f\varphi'} \right)_{1 \leq i \leq m}^{-1}.
\end{aligned}$$

Therefore

$$g^{\varphi'} = \gamma \cdot \left(\left(x_i g \cdot x_{(i)g^\pi}^{-1} \right)^{f\varphi'} \right)_{1 \leq i \leq m} g^\pi \cdot \gamma^{-1}$$

where $\gamma = \left((h_i)^{f\varphi'} \right)_{1 \leq i \leq m}$ is independent of g . Repeating this development for each $g_i = \left(x_i g \cdot x_{(i)g^\pi}^{-1} \right)^{\bar{f}}$, we find that

$$g^{\varphi'} = \gamma \gamma^{(1)} \cdot \left(\left(\left(x_j g_i \cdot x_{(j)g_i^\pi}^{-1} \right)^{f\varphi'} \right)_{1 \leq j \leq m} g_i^\pi \right)_{1 \leq i \leq m} g^\pi \cdot \gamma^{-(1)} \gamma^{-1}.$$

Thus in the limit, we obtain $\lambda = \gamma \gamma^{(1)} \dots \gamma^{(n)} \dots$ such that

$$\begin{aligned}
g^{\varphi'} &= \lambda g^\varphi \lambda^{-1} \text{ for all } g \in G, \\
\varphi &= \varphi' \lambda.
\end{aligned}$$

Introducing the explicit dependence of $\varphi, \varphi', \lambda$ on the transversals, the previous equation becomes

$$\varphi_{x_i} = (\varphi_{h_i x_i}) \left(\lambda_{h_i, \varphi_{h_i x_i}} \right).$$

On replacing h_i by h_i^{-1} and on denoting $h_i^{-1} x_i$ by x'_i , we obtain

$$\varphi_{h_i x'_i} = \left(\varphi_{x'_i} \right) \left(\lambda_{h_i^{-1}, \varphi_{x'_i}} \right).$$

□

Example 1. Let $G = C = \langle a \rangle$ be the infinite cyclic group, let $H = \langle a^2 \rangle$ and let $f : H \rightarrow G$ be defined by $a^2 \rightarrow a$. Given $l, k \geq 0$, then on choosing the transversal $L_{k,l} = \{a^{2k}, a^{2l+1}\}$ for H in G , we obtain the representation $\varphi_{k,l} : G \rightarrow \mathcal{A}(m)$ where $\varphi_{k,l} : a \rightarrow \alpha = (\alpha^{k-l}, \alpha^{-k+l+1}) \sigma$.

5.1.2. Subtriples, Quotient triples. Given a triple (G, H, f) and given subgroups $V \leq G, U \leq H \cap V$ such that $(U)^f \leq V$, we call $(V, U, f|_U)$ a *sub-triple* of G . If N is a normal semi-invariant subgroup of G then $\bar{f} : \frac{HN}{N} \rightarrow \frac{G}{N}$ given by $\bar{f} : Nh \rightarrow Nh^f$ is well-defined and $(\frac{G}{N}, \frac{HN}{N}, \bar{f})$ is a *quotient triple*.

Let (G, H, f) be a simple triple where G is abelian and $[G : H] = m$. Then, any sub-triple of G is simple. Let $T = \text{tor}(G)$ denote the torsion subgroup of G and for $l \geq 1$ define $G(l) = \{g \in T \mid o(g) \mid l\}$, $H(l) = G(l) \cap H$. Then, clearly, $f : \text{tor}(H) \rightarrow \text{tor}(G)$ and $f : H(l) \rightarrow G(l)$. Therefore, $\text{tor}(G)$ and $G(l)$ are

semi-invariant and $(\text{tor}(G), \text{tor}(H), f|_{\text{tor}(H)})$ and $(G(l), H(l), f|_{H(l)})$ are simple sub-triples.

Lemma 2. *Let (G, H, f) be a simple triple. The triple $(\frac{G}{G(l)}, \frac{HG(l)}{G(l)}, \bar{f})$ is also simple.*

Proof. For suppose $K \leq H$ is such that $G(l)K^f \leq G(l)K$. Then

$$(G(l)K^f)^l = (K^f)^l = (K^l)^f \leq (G(l)K)^l = (K)^l;$$

that is, K^l is f -invariant. Since f is simple, $K^l = \{e\}$ and so, $K \leq G(l)$. \square

5.2. The torsion subgroup.

Proposition 4. *Let A be transitive state-closed abelian group of degree m . Then $\text{tor}(A)$ has finite exponent and is therefore a direct summand of A .*

Proof. Let $T = \text{tor}(A)$, $A_1 = \text{Stab}_A(1)$, $T_1 = T \cap A_1$ and $[T : T_1] = m'$. Then, the projection on the 1st coordinate of T_1 is a subgroup of T and the triple $(T, T_1, \pi_1|_{T_1})$ is simple of degree $m'|m$; let $m = m'm''$. Hence, in this representation, T is a torsion transitive state-closed subgroup of $\mathcal{A}_{m'}$, the automorphism group of the tree $\mathcal{T}_{m'}$.

Fixing this last representation of T , let $Q = P(T)$ and let σ_i ($1 \leq i \leq k$) be a minimal set of generators of Q and as before, let $\beta_i = \beta(\sigma_i) \in T$ be such that $\sigma(\beta_i) = \sigma_i$. Let r be the maximum order of the elements β_1, \dots, β_k . As any $\alpha \in T$ can be written in the form

$$\alpha = \prod_{1 \leq i \leq k} \beta_i^{r_{i1}} (\beta_i^{r_{i2}})^{(l_2)} \dots (\beta_i^{r_{ij}})^{(l_j)} \dots$$

it follows that $\alpha^r = e$.

Since T has finite exponent, it is a pure bounded subgroup of A and therefore it is a direct summand of A ([6], Th. 4.3.8). \square

We recall a classic example of an abelian group G which does not split over its torsion subgroup (see [6], page 108).

Example 2. *Let G be the direct product of groups $\prod_{i \geq 1} C_i$ where $C_i = \langle c_i \rangle$ is cyclic*

of order p^i and let H be the direct sum $\sum_{i \geq 1} C_i$. Then $H \leq \text{tor}(G) = \bigcup_{l \geq 1} G(p^l)$.

Moreover, H is a basic subgroup of G and in particular, $\frac{G}{H}$ is p -divisible. This observation leads directly to a proof that G does not split over $\text{tor}(G)$.

The proof of the previous proposition did not establish the exponent of $\text{tor}(A)$. This we do in the next two lemmas.

Lemma 3. *Let m be a prime number and A an abelian transitive state-closed torsion group of degree m . Then, A is conjugate by a tree automorphism to a subgroup of the diagonal-topological closure of $\langle \sigma \rangle$ and so has exponent m .*

Proof. We observe that, $A(m)$ is not contained in $A_1 = \text{Stab}_A(1)$. For otherwise, $A(m)$ would be invariant under the projection on the 1st coordinate. Choose $a \in A \setminus A_1$ of order m . Therefore, $A = A_1 \langle a \rangle$. On choosing $\{a^i \mid 0 \leq i \leq m-1\}$ as a transversal of A_1 in A , the image of a acquires the form $\sigma = (1, \dots, m)$ in this tree representation of A . Thus, we may suppose by Proposition 3 that $\sigma \in A$.

Therefore, \widetilde{A} contains the subgroup $\langle \widetilde{\sigma} \rangle = \langle \sigma^{(i)} \mid i \geq 0 \rangle$. By Proposition 2, we have $C_{\mathcal{A}}(\widetilde{\sigma}) = \langle \sigma \rangle^*$ and thus, $A \leq C_{\mathcal{A}}(A) \leq \langle \sigma \rangle^*$. \square

Lemma 4. *Suppose A is an abelian transitive state-closed torsion group of degree m . Then the exponent of A is equal to the exponent of $P(A)$.*

Proof. By induction on $|P(A)| = m$. The exponent of A is a multiple of the exponent of $P(A)$. By the previous lemma, we may assume m to be composite. Let p be a prime divisor of m and $A(p) = \{a \in A \mid a^p = e\}$. Then, $A(p)$ is a nontrivial subgroup and $P(A(p)) \leq \{\sigma \in P \mid \sigma^p = e\}$. By Lemma 2, $\left(\frac{A}{A(p)}, \frac{A_1 A(p)}{A(p)}, \overline{\pi_0}\right)$ is simple; also, $P\left(\frac{A}{A(p)}\right) = \frac{P(A)}{P(A(p))}$. The proof follows by induction. \square

Theorem 7. *Suppose A is an abelian transitive state-closed torsion group of degree m . Then, A is conjugate to a subgroup of the topological closure of*

$$\widetilde{P(A)} = \langle \sigma^{(i)} \mid \sigma \in P(A), i \geq 0 \rangle.$$

Proof. Let $P = P(A)$ have exponent r and let B be a maximal homogeneous subgroup of P of exponent r (that is, B is a direct sum of cyclic groups of order r), minimally generated by $\{\sigma_i \mid (1 \leq i \leq s)\}$. Choose for each σ_i an element $\beta_i = \beta(\sigma_i) \in A$ and let $\dot{B} = \langle \beta_i \mid (1 \leq i \leq s) \rangle$. Then, as the order of each β_i is a multiple of r , while the exponent of A is r , we conclude from the previous lemma that $o(\beta_i) = o(\sigma_i) = r$ for $(1 \leq i \leq s)$. Since $\beta_i \rightarrow \sigma_i$ defines a projection of \dot{B} onto B we conclude that $\dot{B} \cong B$ and $\dot{B} \cap A_1 = \{e\}$, where $A_1 = \text{Stab}_A(1)$.

Clearly \dot{B} is a pure bounded subgroup and so it has a complement L in A , which may be chosen to contain A_1 . Choose a right transversal W of A_1 in L . Then the set $W\dot{B}$ is a right transversal of A_1 in A . With respect to this transversal, the triple (A, A_1, π_1) produces a transitive state-closed representation φ where $\dot{B}^\varphi = B$. By Proposition 3, we may rewrite A^φ as A . Then, the diagonal-topological closure A^* contains B^* . Let V be a complement of B in P . Each $\alpha \in A^*$ can be factored as $\alpha = \beta\gamma$ where $\beta \in B^*$ and γ is such that each of its states γ_u have activity $\sigma(\gamma_u) \in V$. Therefore, the set of these γ 's is a group Γ such that $\Gamma = \Gamma^*$ and $A^* = \Gamma \oplus B^*$. Then, $(\Gamma, \Gamma \cap A_1, \pi_1)$ is a simple triple with $P(\Gamma)$ having exponent smaller than r . The proof is finished by induction on the exponent. \square

The example below illustrates some of the ideas developed so far.

Example 3. *Let $m = 4, Y = \{1, 2, 3, 4\}$ and let σ be the cycle $(1, 2, 3, 4)$. Furthermore, let $\alpha = (e, e, e, \alpha^2) \sigma \in \mathcal{A}(4)$ and let $A = \langle \alpha \rangle$. Then*

$$\begin{aligned} \alpha^2 &= (\alpha^2, e, e, \alpha^2) (1, 3) (2, 4), \\ \alpha^4 &= (\alpha^2)^{(1)} = \alpha^{2x}, (\alpha^{2-x})^2 = e. \end{aligned}$$

Then A is cyclic, torsion-free, transitive and state-closed, yet it is not diagonally closed, as $\alpha^x \notin A$. Even though A is torsion-free, its diagonal closure $\widetilde{A} = \langle \alpha^{x^i} \mid i \geq 0 \rangle$ is not; for $\kappa = \alpha^{2-x}$ has order 2. Let $K = \langle \kappa^{x^i} \mid i \geq 0 \rangle$. Then, $K \leq \text{tor}(\widetilde{A})$ and it is direct to check that $\widetilde{A} = \langle \alpha, K \rangle$. Therefore, $K = \text{tor}(\widetilde{A})$ and

$$\widetilde{A} = \text{tor}(\widetilde{A}) \oplus A.$$

Let $Y_1 = \{1, 3\}, Y_2 = \{2, 4\}$. Then $\{Y_1, Y_2\}$ is a complete block system for the action of α on Y . Also, α^2 induces the binary adding machine on both $\mathcal{T}(Y_1)$ and $\mathcal{T}(Y_2)$. The topological closure \overline{A} of A is torsion-free and

$$\begin{aligned} \text{tor}(A^*) &= \text{tor}(\tilde{A}), \\ A^* &= \text{tor}(A^*) \oplus \overline{A}. \end{aligned}$$

Moreover, $\text{tor}(A^*)$ induces a faithful state-closed, diagonally and topologically closed actions on the binary tree $\mathcal{T}(Y_1)$. Therefore, $\text{tor}(A^*)$ is isomorphic to $\frac{\mathbb{Z}}{2\mathbb{Z}}[[x]]$. Furthermore, α is represented as the binary adding machine on $\mathcal{T}(\{Y_1, Y_2\})$ and \overline{A} is represented on this tree as the topological closure of the image of A .

6. CYCLIC $\mathbb{Z}_m[[x]]$ -MODULES

Cyclic automorphism groups $\langle \alpha \rangle$ of the tree, for which their state-diagonal-topological closure is isomorphic to a cyclic \mathbb{Z}_m -module have the form

$$\alpha = (\alpha^{q_1}, \dots, \alpha^{q_m}) \sigma$$

where $q_i \in \mathbb{Z}_m[[x]]$ for $1 \leq i \leq m$; here

$$\begin{aligned} q_i &= \sum_{j \geq 0} q_{ij} x^j, \\ q_{ij} &= \sum_{u \geq 0} q_{ij,u} m^u \in \mathbb{Z}_m. \end{aligned}$$

We prove

Theorem 8. (i) The expression

$$\alpha = (\alpha^{q_1}, \dots, \alpha^{q_m}) \sigma$$

is a well-defined automorphism of the m -ary tree. (ii) Let A be the state closure of $\langle \alpha \rangle$. Then A^* is abelian, isomorphic to the quotient ring $\frac{\mathbb{Z}_m[[x]]}{(r)}$ where

$$r = m - qx \text{ and } q = q_1 + \dots + q_m.$$

Proof. (1) Let $\sigma(l)$ denote the permutation induced by α on the l -th level. Then, the expression $\alpha = (\alpha^{q_1}, \dots, \alpha^{q_m}) \sigma$ represents

$$\begin{aligned} \sigma(1) &= \sigma, \\ \sigma(l) &= (\sigma(l-1)^{\overline{q_1}}, \dots, \sigma(l-1)^{\overline{q_m}}) \sigma \end{aligned}$$

where $\overline{q_i} = \overline{q_{i0}} + \overline{q_{i1}}x + \dots + \overline{q_{i(l-1)}}x^{l-1}$ and $\overline{q_{ij}} = q_{ij,0} + q_{ij,1}m + \dots + q_{ij,l-1}m^{l-1}$.

(2.1) The states of α are words in α^p for $p \in \mathbb{Z}_m[[x]]$. Let $v = \alpha^{l_1} \dots \alpha^{l_a}, w = \alpha^{n_1} \dots \alpha^{n_b} \in A^*$. Then clearly $[v, w] \in \text{Stab}_A(1)$. We will prove that the entries of $[v, w]$ are products of conjugates of words in elements of the form $[\alpha^s, \alpha^t]$ where $s, t \in \mathbb{Z}_m[[x]]$.

Clearly $[v, w]$ can be developed into a word in conjugates of $[\alpha^{l_i}, \alpha^{n_j}]$.

Write $p = p_0 + p'x, n = n_0 + n'x$. We compute

$$\begin{aligned} [\alpha^p, \alpha^n] &= \left([\alpha^{p_0}, \alpha^{n'x}] [\alpha^{p_0}, \alpha^{n_0}]^{\alpha^{n'x}} \right)^{\alpha^{p'x}} \\ &\quad [\alpha^{p'}, \alpha^{n'}]^x [\alpha^{p'x}, \alpha^{n_0}]^{\alpha^{n'x}} \\ &= [\alpha^{p_0}, \alpha^{n'x}]^{\alpha^{p'x}} [\alpha^{p'}, \alpha^{n'}]^x [\alpha^{p'x}, \alpha^{n_0}]^{\alpha^{n'x}}. \end{aligned}$$

Therefore, we have to check $[\alpha^\xi, \alpha^{nx}]$ where $\xi \in \mathbb{Z}_m, n \in \mathbb{Z}_m[[x]]$. Write $\xi = \xi_0 + m\xi'$. Then,

$$\begin{aligned} [\alpha^\xi, \alpha^{nx}] &= [\alpha^{\xi_0 + m\xi'}, \alpha^{nx}] \\ &= [\alpha^{\xi_0}, \alpha^{nx}]^{\alpha^{m\xi'}} [\alpha^{m\xi'}, \alpha^{nx}]. \end{aligned}$$

Now,

$$\alpha^{\xi_0} = (v_1, v_2, \dots, v_m) \sigma^{\xi_0},$$

where v_i are words in $\alpha^{q_1}, \dots, \alpha^{q_m}$ and

$$\alpha^m = (\alpha^{q_1} \dots \alpha^{q_m}, \alpha^{q_2} \dots \alpha^{q_m} \alpha^{q_1}, \dots, \alpha^{q_m} \alpha^{q_1} \dots \alpha^{q_{m-1}}).$$

Therefore,

$$[\alpha^{\xi_0}, \alpha^{nx}] = ([v_1, \alpha^n], \dots, [v_m, \alpha^n])$$

and similarly,

$$[\alpha^{m\xi'}, \alpha^{nx}] = \left([(\alpha^{q_1} \dots \alpha^{q_m})^{\xi'}, \alpha^n], \dots, [(\alpha^{q_m} \alpha^{q_1} \dots \alpha^{q_{m-1}})^{\xi'}, \alpha^n] \right).$$

Now we write $\beta = \alpha^{q_1} \dots \alpha^{q_m}$. Then $[\beta^{\xi'}, \alpha^n]$ can be developed further as asserted. The same applies to the other entries.

(2.2) First, clearly $r\alpha = 0$. Now let $u = u(x)$ annul α ; write $u = u_0 + u'x$ where $u_0 = u(0)$. Then $m|u_0$ and so,

$$\begin{aligned} u &= m \frac{u_0}{m} + u'x = (xq) \frac{u_0}{m} + u'x + vr \\ &= xw_1 + vr \end{aligned}$$

for some $v = v(x)$ and $w_1 = q \frac{u_0}{m} + u'$. Then, xw_1 annuls α and so does w_1 . On repeating, we find w_i such that $u \equiv x^i w_i$ modulo r and w_i annuls α for all $i \geq 1$.

In other words, $u \in \cap_{n \geq 1} (x\mathbb{Z})^n + (r) = (r)$. \square

6.0.1. *The group $D_m(j)$.* Recall $\alpha = (e, \dots, e, \alpha^{x^{j-1}}) \sigma \in \mathcal{A}_m$. Then $\alpha^m = \alpha^{x^j}$; that is, $\alpha^r = e$ where $r = m - x^j$. The states of α are $\alpha, \alpha^x, \dots, \alpha^{x^{j-1}}$ and

$$D_m(j) = \langle \alpha, \alpha^x, \dots, \alpha^{x^{j-1}} \rangle;$$

therefore $D_m(j)$ is diagonally closed. The topological closure $\overline{D_m(j)}$ is isomorphic to the quotient ring $S = \frac{\mathbb{Z}_m[[x]]}{(r)}$ which is clearly a free \mathbb{Z}_m -module of rank j .

6.1. The case $P(A)$ cyclic of prime order.

Theorem 9. *Let m be a prime number. Let A be a torsion-free abelian transitive state-closed subgroup of \mathcal{A}_m . Let $\beta \in A \setminus \text{Stab}_A(j)$. Then $A^* = \langle \beta \rangle^*$ and is topologically finitely generated. Furthermore, A^* is conjugate to $\overline{D_m(j)}$ for some $j \geq 1$.*

The proof is developed in four steps.

Step 1. For $z \in A$, define $\zeta(z) = j$ such that $z^m \in \text{Stab}(j) \setminus \text{Stab}(j+1)$. As A is torsion-free, $\zeta(z)$ is finite for all nontrivial z and $z^m = (v)^{(j)}$, $v \in A \setminus \text{Stab}_A(1)$.

Choose $\beta = (\beta_1, \beta_2, \dots, \beta_m)\sigma \in A \setminus \text{Stab}_A(1)$ having minimum $\zeta(\beta) = j$. If $z \in \text{Stab}_A(1)$, $z \neq e$, then there exists $l > 0$ such that $z^m = (c)^{(l)}$ and $c \in A \setminus \text{Stab}_A(1)$. Therefore, by minimality of β we have $\zeta(c) \geq \zeta(\beta)$ and $\zeta(z) > \zeta(\beta)$.

Lemma 5. (Uniform gap) *Let $z \in \text{Stab}_A(1)$. Then $\zeta(z\beta) = \zeta(\beta)$.*

Proof. First note that

$$\begin{aligned}\beta^m &= (\beta_1\beta_2\cdots\beta_m)^{(1)}, \\ \beta_1\beta_2\cdots\beta_m &= (\gamma)^{(j-1)}, \gamma \in A \setminus \text{Stab}_A(1).\end{aligned}$$

We have $z = c^{(1)}$ and $z\beta = (c\beta_1, c\beta_2, \dots, c\beta_m)\sigma$, $(z\beta)^m = (u)^{(1)}$ where $u = c^m\beta_1\cdots\beta_m = c^m(\gamma)^{(j-1)}$. If $c \in A \setminus \text{Stab}_A(1)$ then $\zeta(c) = n \geq j$, $c^m \in \text{Stab}(n) \setminus \text{Stab}(n+1)$ and so, $u \in \text{Stab}_A(j-1) \setminus \text{Stab}_A(j)$. If $c \in \text{Stab}_A(1)$ then $\zeta(c) > j$ and so, $c^m \in \text{Stab}(k)$ where $k > j$ and again $u \in \text{Stab}(j-1) \setminus \text{Stab}(j)$. \square

Step 2. Note that

$$\begin{aligned}\beta^m &= (\gamma)^{(j)}, \quad \gamma^m = (\lambda)^{(j)} \\ \beta^{m^2} &= (\lambda)^{(2j)}\end{aligned}$$

where by the uniform gap lemma above, $\gamma, \lambda \in A \setminus \text{Stab}_A(1)$. Therefore, on repeating this process, we find that β^{m^s} induces $\sigma^{(sj)}$ on the (sj) th level of the tree for all $s \geq 0$. Now given a level $t \geq 0$, on dividing t by j , we get $t = sj + i$ with $0 \leq i \leq j-1$ and then $(\beta^{(i)})^{m^s} = (\beta^{m^s})^{(i)}$ induces $(\sigma^{(sj)})^{(i)} = \sigma^{(sj+i)} = \sigma^{(t)}$ on the t -th level of the tree. It follows that the group A is a subgroup of the topological closure of $\langle \beta, \beta^{(1)}, \dots, \beta^{(j-1)} \rangle$.

Step 3. We have for $\beta = (\beta_1, \beta_2, \dots, \beta_m)\sigma$,

$$\beta_i = \beta^{p_i}, \quad p_i = r_{i0} + r_{i1}x + \dots + r_{i(j-1)}x^{j-1} \in \mathbb{Z}_m[x],$$

and

$$\begin{aligned}\beta^m &= (\beta_1\beta_2\cdots\beta_m)^{(1)}, \\ \beta_1\beta_2\cdots\beta_m &= \beta^{p_1+\dots+p_m}, \\ p_1 + \dots + p_m &= q.x^{j-1}\end{aligned}$$

where q is an invertible element of $\mathbb{Z}_m[[x]]$.

Proposition 5. *The element $\beta = (\beta_1, \beta_2, \dots, \beta_m)\sigma$ is conjugate in \mathcal{A}_m to $\alpha = (e, \dots, e, \alpha^{x^{j-1}})\sigma$.*

Proof. Let $h = (h_1, h_2, \dots, h_m)$ be an automorphism of the tree. Then

$$\beta^h = (h_1^{-1}\beta_1h_2, h_2^{-1}\beta_2h_3, \dots, h_m^{-1}\beta_mh_1)\sigma.$$

Therefore $\beta^h = \alpha$ holds if and only if

$$h_2 = \beta_1^{-1}h_1, \quad h_3 = \beta_2^{-1}h_2, \dots, \quad h_m = \beta_{m-1}^{-1}h_{m-1}, \quad h_1 = \beta_m^{-1}h_m\alpha^{x^{j-1}}.$$

These conditions can be rewritten as

$$\begin{aligned} h_2 &= \beta_1^{-1}h_1, \quad h_3 = \beta_2^{-1}\beta_1^{-1}h_1, \dots, \quad h_m = \beta_{m-1}^{-1}\dots\beta_1^{-1}h_1, \\ h_1 &= \beta_m^{-1}\beta_{m-1}^{-1}\dots\beta_1^{-1}h_1\alpha^{x^{j-1}}, \end{aligned}$$

or as

$$\begin{aligned} h &= (h_1, \beta_1^{-1}h_1, \beta_2^{-1}\beta_1^{-1}h_1, \dots, \beta_{m-1}^{-1}\dots\beta_1^{-1}h_1) \\ &= (e, \beta_1^{-1}, \beta_2^{-1}\beta_1^{-1}, \dots, \beta_{m-1}^{-1}\dots\beta_1^{-1})(h_1)^{(1)}, \end{aligned}$$

and

$$(\beta_1\beta_2\dots\beta_m)^{h_1} = \alpha^{x^{j-1}}.$$

Since

$$\beta_1\beta_2\dots\beta_m = \beta^{q \cdot x^{j-1}},$$

we repeat the above procedure replacing β by β^q and replacing h_1 by $(h_1')^{x^{j-1}}$. This leads to the conjugation equation

$$(\beta^q)^{h_1'} = \alpha.$$

In this manner, we determine an automorphism h of the tree which effects the required conjugation

$$\beta^h = \alpha.$$

□

Example 4. Let $\beta = (e, \beta^q)\sigma$ where $q = 1 + x$. Then β is conjugate to the adding machine $\alpha = (e, \alpha)\sigma$. Note that from Example 1, β is not obtainable from α by simply choosing a different transversal. To exhibit the conjugator $h : \beta \rightarrow \alpha$, constructed in the proof, define the polynomial sequences

$$\begin{aligned} c_0 &= 1, \quad c_1 = q, \quad c_n = 2c_{n-2} + c_{n-1}; \\ c'_{-1} &= 0, \quad c'_0 = 0, \quad c'_n = c_{n-1} + c'_{n-1}. \end{aligned}$$

Then

$$h = (e, e)^{(0)} (e, \beta^{-1})^{(1)} (e, \beta^{-(1+q)})^{(2)} \dots (e, \beta^{-c'_n})^{(n)} \dots$$

Step 4. By Proposition 2, we have $A \leq \overline{A} = C_{\mathcal{A}}(\alpha)$ and

$$A^h \leq C_{\mathcal{A}}(\alpha^h) = C_{\mathcal{A}}(\beta) = \overline{D_m(j)}.$$

This finishes the proof of the theorem.

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